PTO/SB/21 (08-00)

· C		Application Number	09/921,275		
FRANSMITTAL FORM		Filing Date	August 1, 2001 RFC		
		First Named Inventor	Cerami, Richard		
to be used for all correspondence after in	itial filing)	Group Art Unit	2151 <b>* * * * *</b>		
940EMAK		Examiner Name	Unassigned Chnolog.		
otal Number of Pages in This Submission	4	Attorney Docket Number	2151 MAY 0 Unassigned 020366-077710US		
	ENCL	OSURES (check all that apply)			
Fee Transmittal Form		ment Papers Application)	After Allowance Communication to Group		
Fee Attached	Drawin	ng(s)	Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Licens	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		n Routing Slip (PTO/SB/69)	Proprietary Information		
Affidavits/declaration(s)		n to Convert to a ional Application	Status Letter		
Extension of Time Request		of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):		
Express Abandonment Request	Termin	nal Disclaimer	Return Postcard		
	Reque	st for Refund	Cited References: 26-US Patents		
	CD, N	umber of CD(s)	Copy of PCT Search Report		
Certified Copy of Priority Document(s)	Rema	<b>! =</b>	authorized to charge any additional fees to 430.		
Response to Missing Parts/ Incomplete Application	· · · · · · · · · · · · · · · · · · ·				
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNA	TURE OF	APPLICANT, ATTORNEY, O	PR AGENT		
Firm Townsend and Tov					
and Chad S. Hilyard					
Signature () () () ()					
Date April 30, 2002	V				
	CE	RTIFICATE OF MAILING			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DE 7069473 v1

Date

April 30, 2002

Çindy Bennett

Signature

Typed or printed name

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

On \_\_\_\_\_\_April 30, 2002

TOWNSEND and FOWNSEND and CREW LLB

By: \_\_\_\_\_\_Cindy Dennett

Attorney Docket No.: 020366-077710US
Client Ref. No. 1707C

Technology Center 2100

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard Cerami, et al.

Application No.: 09/921,275

Filed: August 1, 2001

For: Proactive Service Request

MANAGEMENT AND MEASUREMENT

Examiner:

Art Unit:

2151

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR

§1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Richard Cerami, et al. Application No.: 09/921,275

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted

Chad S. Hilyard Reg. No. 40,647

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

CSH:cmb

DE 7069466 v1

PTO/SB/08A	wa_nn
FIUISDIUGA	(00-00

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Pated and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

+

(use as many sheets as necessary)

(use as many sneets as necessary)

ADENADA,		Complet	te if Known	7	_	
Application N	umber	09/921	,275		<u>.</u>	
Filing Date		Augus	t 1, 2001	Mar		
First Named Inventor		Richar	d Cerami	770		
Group Art Unit		2151				
Examiner Nam	е			9/1	(00) V	
Attorney Dock	et Number	02036	6-077710US		<u>*</u>	
					270-	
TENT DOCUM	MENTS				100	
ntee or Applicant	Date of Publi Cited Doc		Pages, Columns, Lin Where Relevant		•	

				U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document    Number   Kind Code² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,097,469		Douglas	03-17-1992	
	AB	5,504,863		Yoshida	04-02-1996	
	AC	5,666,481		Lewis	09-09-1997	
	AD	5,692,030		Teglovic, et al.	11-25-1997	
	AE	5,768,614		Takagi, et al.	06-16-1998	
	AF	5,799,154		Kuriyan	08-25-1998	
	AG	5,850,388		Anderson, et al.	12-15-1998	
	AH	5,872,911		Berg	02-16-1999	
	Al	5,892,812		Pester, III	04-06-1999	
	AJ	5,892,937		Caccavale	04-06-1999	
	AK	5,920,846		Storch, et al.	07-06-1999	
	AL	5,958,009		Friedrich, et al.	09-28-1999	
	AM	5,999,179		Kekic, et al.	12-07-1999	
	AN	6,002,996		Burks, et al.	12-14-1999	
	AO	6,006,016		Faigon, et al.	12-21-1999	, , , <u>, , , , , , , , , , , , , , , , </u>
	AP	6,018,300	Ĩ	Dowden, et al.	01-25-2000	
	AQ	6,085,243		Fletcher, et al.	07-04-2000	
	AR	6,147,975		Bowman-Amuah	11-14-2000	
	AS	6,148,335		Haggard, et al.	11-14-2000	
	AT	6,169,724	B1	Begum, et al.	01-02-2001	
	AU	6,195,697	B1	Bowman-Amuah	02-27-2001	
	AV .	6,199,180	B1	Ote, et al.	03-06-2001	
	AW	6,233,449	B1	Glitho, et al.	05-15-2001	
	AX	6,249,883	B1	Cassidy, et al.	06-19-2001	
	AY	6,269,330	B1	Cidon, et al.	07-31-2001	
	AZ	6,285,748	B1	Lewis	09-04-2001	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	For Office <sup>3</sup>	eign Patent Do	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
	BA							

	<del></del>		
Examiner Signature		Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.